

State Banking Department (065)

Lead Administrator: Mick Thompson

Lead Financial Officer: Steven Hawkins

FY'19 Projected Division/Program Funding By Source

	Dept		Federal	Revolving	Local	Other*	Total
Administration	1			\$1,956,079			\$1,956,079
Examinations	2			\$6,682,652			\$6,682,652
IT				\$194,060			\$194,060
Total			\$0	\$8,832,791	\$0	\$0	\$8,832,791

*Source of "Other" and % of "Other" total for each.

FY'18 Carryover and Refund by Funding Source

		Federal	Revolving	Local	Other*	Total
FY'18 Carryover			NA			
						\$0

*Source of "Other" and % of "Other" total for each.

What Changes did the Agency Make between FY'18 and FY'19?

- 1.) Are there any services no longer provided because of budget cuts?
NA
- 2.) What services are provided at a higher cost to the user?
NA
- 3.) What services are still provided but with a slower response rate?
NA
- 4.) Did the agency provide any pay raises that were not legislatively/statutorily required?
Yes

FY'20 Requested Division/Program Funding By Source

		Federal	Revolving	Other	Total	% Change
Administration			\$1,956,079		\$1,956,079	0.00%
Examinations			\$6,682,652		\$6,682,652	0.00%
IT			\$194,060		\$194,060	0.00%
Total		\$0	\$8,832,791	\$0	\$8,832,791	0.00%

*Source of "Other" and % of "Other" total for each.

FY'20 Top Five Budget Adjustments

	\$ Amount
Total Increase above FY-19 Request	\$ -

Does the agency have any costs associated with the Pathfinder retirement system and federal employees?

(If so, please describe the costs and provide an estimate for FY '20, FY '21, and FY '22.)
NA

How would the agency be affected by receiving the same appropriation for FY '20 as was received in FY '19? (Flat/ 0% change)

How would the agency handle a 2% appropriation reduction in FY '20?

Is the agency seeking any fee increases for FY '20?

	\$ Amount
N/A	\$0

What are the agency's top 2-3 capital or technology (one-time) requests, if applicable?

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Federal Funds					
	FY 19 projected	FY 18	FY 17	FY 16	FY 15
Federal Funding I (Brief Description with CFDA number)	N/A				

Federal Government Impact	
1.) How much federal money received by the agency is tied to a mandate by the Federal Government?	NA
2.) Are any of those funds inadequate to pay for the federal mandate?	NA
3.) What would the consequences be of ending all of the federal funded programs for your agency?	NA
4.) How will your agency be affected by federal budget cuts in the coming fiscal year?	NA
5.) Has the agency requested any additional federal earmarks or increases?	NA

Division and Program Descriptions	
Administrative Services Administration	To allocate human and other resources to implement an effective regulatory program for financial institutions.
Division Name Examinations	The Banking Department examines banks, credit unions, trust companies, and savings associations, and rates them based on safety and soundness. The Department also compares trends of capital requirements and assets of prior years. The Department can then recognize weaknesses and implement formal and informal supervisory action to ensure compliance with applicable laws and prevent the failure of a financial institution. The Department can also compare the results of each examination to evaluate the progress of each financial institution. With respect to its supervision of money order companies and money transmitters, the Department supervises such institutions to assure they maintain adequate security (such as a surety bond) and net worth. The Department's supervision is directed at consumer protection and making sure that only those companies with sound business operations may conduct business in Oklahoma.

FY'19 Budgeted FTE						
	Supervisors	Classified	Unclassified	\$0 - \$35 K	\$35 K - \$70 K	\$70 K - \$\$\$
Administration	3		12		3	8
Examinations	3		33		6	27
Total	6	0	45	0	9	35

FTE History					
	2019 Budgeted	2018	2017	2015	2010
Administration	12	11	11	10	10
Examinations	33	31	31	31	31
Total	45	42	42	41	41

Performance Measure Review					
	FY 18	FY 17	FY 16	FY 15	FY 14
Measure I					
Examinations Needed	76	82	85	79	69
Examinations Performed	76	82	85	79	69
Measure II					
Money Service Reviews	124	119	113	96	86

Revolving Funds (200 Series Funds)			
	FY'16-18 Avg. Revenues	FY'16-18 Avg. Expenditures	June '18 Balance
Revolving Fund I Banking Assessments	\$ 6,550,000.00	6,750,000.00	\$ 10,927,045.00